

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Toshiharu KOBAYASHI et al.

Date: November 28, 2005

Serial No.: 10/537,477

Group Art Unit: 1742

Filed: June 3, 2005

Examiner: ---

For:

NI-BASED SINGLE CRYSTAL SUPER ALLOY

# VIA FIRST CLASS MAIL

Commissioner for Patents Office of Initial Patent Examination's Filing Receipt Corrections P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Please issue a corrected filing receipt for the above application. The original filing receipt includes the following errors:

The seventh named inventor's name is spelled incorrectly. The proper spelling of the seventh named inventor is:

#### SHOJU MASAKI

A copy of the original declaration showing the correct inventorship is enclosed.

A red-lined copy of the filing receipt reflecting the error(s) is enclosed.

It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

In the event payment of any fee is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents Office of Initial Patent Examination's Filing Receipt Corrections, P.O. Box 1450, Alexandria, VA 22313-1450, on November 28, 2005

Respectfully submitted,

Robert C. Faber

Registration No.:24,322

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas New York, New York 10036-8403

Telephone: (212) 382-0700

Robert C. Faber

Name of applicant, assignee or Registered Representative

Signature

November 28, 2005

Date of Signature



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

FILING OR 371 ART UNIT FIL FEE REC'D ATTY.DOCKET NO APPL NO. DRAWINGS TOT CLMS IND CLMS (c) DATE 10/537,477 06/03/2005 1742 1500 2 R/2850-110 24 5

2352 **OSTROLENK FABER GERB & SOFFEN** 1180 AVENUE OF THE AMERICAS **NEW YORK, NY 100368403** 

**CONFIRMATION NO. 3344** 

**FILING RECEIPT** \*OC000000017100841\*

Date Mailed: 09/28/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Toshiharu Kobayashi, Ryugasaki-shi, JAPAN; Yutaka Koizumi, Ryugasaki-shi, JAPAN; Tadaharu Yokokawa, Tsukaba-shi, JAPAN; Hiroshi Harada, Tsukuba-shi, JAPAN; Yasuhiro Aoki, Tokyo, JAPAN; Mikiya Arai, Tokyo, JAPAN; Shoiu Masaki, Tokyo, JAPAN; 701

Power of Attorney: The patent practitioners associated with Customer Number 2352.

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/15619 12/05/2003

## Foreign Applications

JAPAN 2002-355756 12/06/2002

**Projected Publication Date: 01/05/2006** 

Non-Publication Request: No

Early Publication Request: No

Title

Ni-based single crystal superalloy

**Preliminary Class** 

148

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

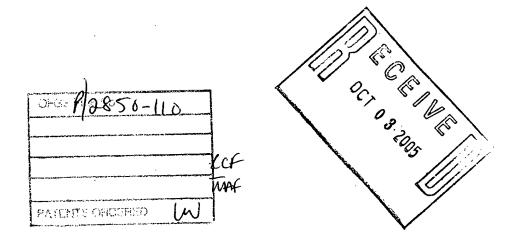
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



OIPE	10
NOV 9 0 7005	ر ا
The same of	

					······································			
UNITED STATES OF AMERICA COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION					мс	P/2850-1/D		
As a below named inventor, I he that I writy believe that I am the or named) of the subject matter which Ni-BASED SINGLE	ercby declare that: my r iginal, first and sole my is claimed and for which	raidence, post offi entor (if only one h a patent is sough	ce add	reas and citizes	stip are a	a stated h	claw acrt	la my seme:
the specification of which is attached was filed on December	5, 2003	at Uni	icd Stat	es palant Appl	ication Nu	mber or I	CT Lawre	ational patent
application number PCT	/JP03/15619	end was	mende	d on				(if any).
I hereby state that I have review any amendment referred to above. I acknowledge the duty to discle Regulations, §1.36. I hereby claim priority benefits United States provisional application having a filing date before that of the	under Tide 35, United 8 n(s) fisted below and have se application on which	rn to be material to States Code §119 o ve also identified t	o pates of any s	tability in acco	rdance wit	in Title 37	, Code of	Federal
Prior Foreign or Provisional Applic COUNTRY	atios(s) APPLICATION	NUMBER		DATE OF			PRIORI	TY CLAIMED 15 U.S.C. 119
						_ 1	YES X	NO
Japan	Patent 2002=	355756	067	December	/2002		YES NO	
							YES	NO
Title 37, Code of Federal Regulatic international filing date of this application STATES APPLICATION NUMBER	DATE OF FILING			STATUS (patented, pending, abandoned)				
I hereby appoint OSTROLENIA	, faber, gerb & so	OFFEN, LLP and	he me	mbers of the fu	ra, Marvi	a C. Soffe	n - Reg. t	No. 17,542;
I hereby appoint OSTROLENK Samuel H. Weiner - Reg. No. 18,5 Reg. No. 24,735; Stanley H. Liche Stephen A. Soffen - Reg. No. 31,0 Reg. No. 30,625, as attorneys with & Trademark Office connected the	10; Jerome M. Bertine: ristein - Reg. No. 22,40 63; James A. Finder - I full power of substituti rewith and to receive all	r – Reg. No. 18,02 O; Steven I. Weist Reg. No. 30,173; ' ion and revocation I correspondence.	ourd - I Willian to pro	Reg. No. 27,40 1 O. Gray, III secute this appl	9; Max M Reg. No. lication, to	loskowitz . 30,944 e treasect	- Reg. No ad Louis ( all busines:	. 30,576; 5. Dujqnich - 5 in the Patent
SEND CORRESPONDENCE TO: OSTROLENK, FABER, GERB & SOFFEN, LLP 1180 AVENUE OF THE AMERICAS NEW YORK, NEW YORK 10036-8403					12) 382-0	RECT TELEPHONE CALLS TO: 2) 382-0700		
I hereby declare that all statem believed to be true; and further tha punishable by line or imprisonmen may jeopardize the validity of the	t mese sutements were Loc both, under Socio	n 1001 of Title 18	re trus rededge of the	and that all sta that willful fo United States C	lements in Lec statem Code, and	ede on in ens and the that such	formation on the Elko so the willful fals	and belief are made are se statements
FULL NAME OF SOLE OR FIRST INVE	. 1	TO STURES	IATURE	V 1	1.	DATE	Tuna	1, 2005
Toshiharu KOBAYASI RESIDENCE (City and either State of	<u> </u>	10.DTWT-EL	<u>u 1</u>	olgye	COUNT	Y OF CITE		1, 2005
Ryugasaki-shi, Jap	an			<del></del>	Jap	an		
POST OFFICE ADDRESS C/O NET						•		
	engen 1-chome,				-ken,			
FULL NAME OF SECOND SOINT INVE Yutaka KOIZUMI	INTOR (IF ANY)	Sutak		Kozum	~	DATE Ju	ne 1,	2005
RESIDENCE (City and alther State of Ryugasaki—shi, Jap	r Forden Country)				Jap	en	ZENSHIP.	
POST OFFICE ADDRESS C/O NA	cional institu	ite for Ma	teri	als Scien	ice,			
FULL NAME OF THIRD JOINT INVEN	engen 1-chome	, Teukuba-			7,	DATE		
Tadaharu YOKOKAWA	•			sures	1	<u> </u>	une 1,	2005
RESIDENCE (City and either State of		•		/	Jap	RY OF CITI an	KENSHIP	
Taukuba-shi. Japa		ute for Ma	teni	ala Soie		7		
2-1 S	tional instit engen 1-chome	Taukuha-	shi_	Tharaki	ken	Japan		
		<del>,</del>						

/	OIPE	ſ
À	NOV 8 0 2005	ľ
8	THE PARTY OF THE P	ŀ

COMBINED DECLARATION AL	ITED STATES OF AM ID POWER OF ATTO		INT APPLICATION	P/2	0FGS FILE NO. 850 -// D	
COUNTRY	APPLICATION	NUMBER	DATE OF FILE	NO	PRIORITY CLAIMED	
			(asy, movin, yea	7	UNDER 35 U.S.C. 119 YES NO	
					YES NO	
					YES NO	
					YES NO	
			· · · · · · · · · · · · · · · · · · ·		YES NO	
					YES NO	
					AE2 NO	
					YES NO	
					YESNO	
•					YES NO	
					YES 110	
					YES NO	
					AES WO	
					YES NO	
			· · · · · · · · · · · · · · · · · · ·		YES NO	
					YES NO	
<u> </u>					YES NO	
I hereby declare that all statemer, and further that statement is the property of the property of the application.  WILL NAME OF FOURTH JOINT INVENTIGATION.	or both, under Section tion or any patent issue	1001 of Title 18 of	f the United States Code	made on i	willful false statements may	
Hiroshi HARADA	1 Kings	il Agnorda	-   -	June 1, 2005		
Tsukuba-shi, Japan		ŀ	• .		apan	
OST OFFICE ADDRESS C/o Nat.	ional Institu	te for Mate	rials Science,			
			i, Ibaraki-ken			
rul name of fifth joint inventor, if any - Yabuhito AOKI		INVENTOR'S SIGNATURE  J. GORA		DAT	June 1, 2005	
TEZIDENCE					CTIZENSHIP Japan	
Tokyo, Japan					apan .	
NOST OFFICE ADDRESS C/O Ish:		_	•	-	•	
VLL NAME OF SOUTH JOHN INVENT		INVENTOR'S ALC	ku. Tokyo. Jap Snature	DAT	E .	
Mikiya ARAI			aray.		June 1, 2005	
······································				an	ZENSHIP	
RENDENCE						
Tokyo, Japan Ost office address C/O Ish:	Urawa 11 ma V-	ma Voca	nduates on Co		apan	

\* x . .

OIPE	
NOV 3 0 2005	
THE DEMANDS OF THE	F

POST OFFICE ADDRESS

UNITED STATES OF AMERICA COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION					OFGS FILE NO.   2 850-110		
COUNTRY	APPLICATION NU	MBER	DATE OF FILE	ING	PRIORITY CLAIMED UNDER 35 U.S.C. 119		
					YBS NO		
					YES NO		
					YES NO		
					YES NO		
				· · · · · · · · · · · · · · · · · · ·	YESNO		
				<del></del>	YES NO		
					YES NO		
					YES NO		
	<del></del>				YES NO		
•					YES NO		
		<del></del>			YES NO		
					YESNO		
					YES NO		
					YES NO		
				·	YES NO		
					YES NO		
• .					YES NO		
I hereby doctare that all statem offered to be true; and further that mixtuable by fine or imprisonment opardize the validity of the applic	. or both, under Section IUU	I OT I MUC IO OL I	vio and that all statem dge that willful false he United States Code	e and mut enen	Winds sales and the sales		
ull name of peventh joint inventor. If any		INVENTOR'S SIGNATURE		DAT	June 1, 2005		
Shoiu MASAKI		- JAA			CITIZENSHIP		
RESIDENCE Tokyo Japan					apan		
OST OFFICE ADDRESS C/O ISh	ikawajima Precis Minami-cho, Nish	ion Casti itokvo-sh	ng Co., Ltd. i. Tokvo. Ja	oan	•		
FULL NAME OF EIGHTHOUNT INVENTOR, IF ANY		INVENTOR'S SIGNATURE			DATE		
LOTT LAND OF Editions and Prince to any							
RESIDENCE				crts	CTIZENSHIP		
DEST CIFFICIE ADDRESS					•		
FULL NAME OF HIGH POINT INVENT	OR, IF ANY	INVENTOR'S SIGN	ATURE	DA1	Е .		